



2817/A

Docket No.: M1071.1495/P1495
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Takahiro Okada, et al.

Application No.: 10/001,621

Filed: October 31, 2001

Art Unit: 2817

For: COMPOSITE DIELECTRIC FILTER
DEVICE AND COMMUNICATION
APPARATUS INCORPORATING THE
SAME

Examiner: S. Ham

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Timing of Filing of the Information Disclosure Statement:

- ☐ This IDS is being filed before the First Office Action¹.
- ☒ This IDS is being filed after the issuance of the First Office Action but before the issuance of a Final Office Action².

¹ The IDS should, where possible, include a certification under 37 C.F.R. §1.97(c).

- ☐ This IDS is being filed after the issuance of a Final Office Action but before the payment of the Final Fee³.

Certifications:

If checked, the undersigned makes the following statement(s):

- ☐ Statement under 37 CFR § 1.97(c):

Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; or

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

- ☐ Statement Under 37 C.F.R. § 1.704(d):

Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of this information disclosure statement.

Fee Required by 37 C.F.R. § 1.97(c)(2) or 1.97(d)(2):

² The IDS *must* include *either* a certification under 37 C.F.R. §1.97(c) *or* the fee set forth in 37 C.F.R. §1.17(p).

³ The IDS *must* include *both* a certification under 37 C.F.R. §1.97(c) *and* the fee set forth in 37 C.F.R. §1.17(p).

- ☒ If checked, the fee of \$180.00 set forth in 37 C.F.R. §1.17(p) is attached.

Copies of Information:

In accordance with 37 C.F.R. §1.98(a), the following are enclosed:

- ☒ A legible copy⁴ of each document (or relevant portion thereof) is cited in the attached PTO/SB/08.
- ☒ With respect to any information which is not in English, a concise explanation of the relevance, as it is presently understood by the individual designated in § 1.56(c) most knowledgeable about the content of the information, is attached. This concise explanation is provided by way of:

☐ A translation of the relevant portions of the non-English language information⁵;

☐ A statement explaining the relevant portions of the non-English language information;

☒ A copy [and, where not in the English language, a translation] of at least the relevant portion(s)⁶ of the communication from a foreign patent office in a counterpart foreign application in which the information was cited; or

⁴ A legible copy of the document is not required if (1) the information was previously cited by, or submitted to, the Office and considered by the Office in a prior U.S. application to which this application claims priority, provided that the prior application is properly identified in this IDS, and (2) the IDS submitted in the earlier application complies with 37 C.F.R. § 1.98(a) - (c). This exception does not apply to information cited in an International Application.

⁵ 37 C.F.R. §1.98(a)(3)(ii) requires that an English language translation be provided when a translation of the document, or portion thereof, "is within the possession, custody or control of, or is readily available to any individual designated in 37 C.F.R. § 1.56(c)."

⁶ The relevant portion is that portion which indicates the degree of relevance found by the foreign patent office. This may be an explanation of which portion of the of the reference is particularly relevant, to which claims it applies, or merely an "X", "Y", or "A" indication on a search report. MPEP §609 III A(3).

☐ This information is contained in the specification of the present application.

☐ In accordance with 37 C.F.R. 1.98(d), copies of the cited documents are not enclosed as they were provided in application Serial No. _____, filed _____, which the present application relies upon for an earlier effective filing date under 35 U.S.C. 120.

Materiality:

Whether or not the information and references disclosed in this Information Disclosure Statement is “material” pursuant to 37 CFR 1.56, this submission is not intended to constitute an admission that any patent, publication or other information referred to therein is “prior art” for this invention unless specifically designated as such.


In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

In the event the actual fee is inadvertently not enclosed or if any additional fee during the prosecution of this application is not paid, the Patent Office is authorized to charge the underpayment to Deposit Account No. 50-2215.

Dated: September 29, 2003

Respectfully submitted,

By 
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PTO/SB/08a/h (08-03)
Approved for use through 07/31/2008. OMB 0651-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/001,621-Conf. #1155
				Filing Date	October 31, 2001
				First Named Inventor	Takahiro Okada
				Art Unit	2817
				Examiner Name	S. Ham
Sheet	1	of	1	Attorney Docket Number	M1071.1495/P1495

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁴
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA	CN 1287392 A	03/14/2001			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
	CA	Copy of The People's Republic of China Office Action dated June 13, 2003 (and English translation of same)			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.



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PTO/SB/17 (08-03)

Approved for use through 07/31/2006, OMB 0651-0032

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FEE TRANSMITTAL for FY 2003		Complete if Known	
Effective 01/01/2003, Patent fees are subject to annual revision.		Application Number	10/001,621-Conf. #1155
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	October 31, 2001
TOTAL AMOUNT OF PAYMENT (\$)		First Named Inventor	Takahiro Okada
180.00		Examiner Name	S. Ham
		Art Unit	2817
		Attorney Docket No.	M1071.1495/P1495
METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)	
<input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEES	
Deposit Account: Deposit Account Number: 50-2215 Deposit Account Name: Dickstein Shapiro Morin & Oshinsky LLP		Large Entity Small Entity	
The Director is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		Fee Code Fee (\$) Fee Code Fee (\$) Fee Description Fee Paid	
FEE CALCULATION		1051 130 2051 85 Surcharge - late filing fee or oath	
1. BASIC FILING FEE		1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet.	
Large Entity Small Entity		1053 130 1053 130 Non-English specification	
Fee Code Fee (\$)	Fee Code Fee (\$)	1812 2,520 1812 2,520 For filing a request for ex parte reexamination	
1001 750 2001 375 Utility filing fee		1804 920* 1804 920* Requesting publication of SIR prior to Examiner action	
1002 330 2002 165 Design filing fee		1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action	
1003 520 2003 260 Plant filing fee		1251 110 2251 55 Extension for reply within first month	
1004 750 2004 375 Reissue filing fee		1252 410 2252 205 Extension for reply within second month	
1005 160 2005 80 Provisional filing fee		1253 930 2253 465 Extension for reply within third month	
SUBTOTAL (1) (\$) 0.00		1254 1,450 2254 725 Extension for reply within fourth month	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1255 1,970 2255 985 Extension for reply within fifth month	
Total Claims -20** = <input type="checkbox"/> x <input type="checkbox"/> = <input type="checkbox"/>		1401 320 2401 160 Notice of Appeal	
Independent Claims -3** = <input type="checkbox"/> x <input type="checkbox"/> = <input type="checkbox"/>		1402 320 2402 160 Filing a brief in support of an appeal	
Multiple Dependent <input type="checkbox"/> = <input type="checkbox"/>		1403 280 2403 140 Request for oral hearing	
Large Entity Small Entity		1451 1,510 1451 1,510 Petition to institute a public use proceeding	
Fee Code Fee (\$)	Fee Code Fee (\$)	1452 110 2452 55 Petition to revive - unavoidable	
1202 18 2202 9 Claims in excess of 20		1453 1,300 2453 650 Petition to revive - unintentional	
1201 84 2201 42 Independent claims in excess of 3		1501 1,300 2501 650 Utility issue fee (or reissue)	
1203 280 2203 140 Multiple dependent claim, if not paid		1502 470 2502 235 Design issue fee	
1204 84 2204 42 ** Reissue Independent claims over original patent		1503 630 2503 315 Plant issue fee	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent		1460 130 1460 130 Petitions to the Commissioner	
SUBTOTAL (2) (\$) 0.00		1807 50 1807 50 Processing fee under 37 CFR 1.17(q)	
**or number previously paid, if greater; For Reissues, see above		1806 180 1806 180 Submission of Information Disclosure Stmt	
		8021 40 8021 40 Recording each patent assignment per property (times number of properties)	
		1809 750 2809 375 Filing a submission after final rejection (37 CFR 1.129(a))	
		1810 750 2810 375 For each additional invention to be examined (37CFR 1.129(b))	
		1801 750 2801 375 Request for Continued Examination (RCE)	
		1802 900 1802 900 Request for expedited examination of a design application	
		Other fee (specify) <input type="text"/>	
		*Reduced by Basic Filing Fee Paid	
		SUBTOTAL (3) (\$) 180.00	
SUBMITTED BY		(Complete if applicable)	
Name (Print/Type)	Steven I. Weisburd	Registration No. (Attorney/Agent)	27,409
Signature		Telephone	(212) 896-5470
		Date	September 29, 2003